

heavy-flavour





Istituto Nazionale di Fisica Nucleare

# Apersonal Overview on hadronization of quarks in proton-proton and e<sup>+</sup>e<sup>-</sup> collisions

Mattia Faggin University and INFN, Trieste (Italy) <u>24<sup>th</sup> June 2023</u>



#### **17th International Workshop** on Meson Physics

22<sup>nd</sup> - 27<sup>th</sup> June 2023, Kraków, Poland

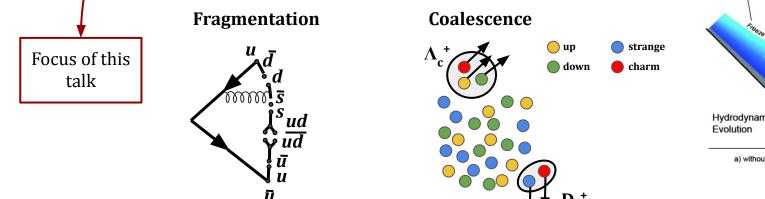
#### Hadronization

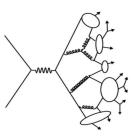
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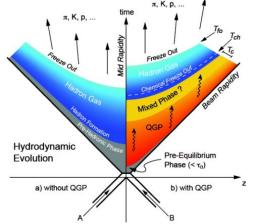
- **Hadronization**: the mechanism by which quarks and gluons produced in hard partonic scattering processes form the hadrons
- No first-principle description of hadron formation
  - Non-perturbative problem, not calculable with QCD
  - Necessary to resort to models and make use of phenomenological parameters

#### • Different mechanisms depending on the system size

- $\circ$   $e^+e^-$ , pp collisions: fragmentation
  - heavy-ion collision (e.g. Au–Au, Pb–Pb): coalescence in the quark-gluon plasma (QGP)  $\rightarrow$  a few references in this talk



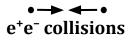




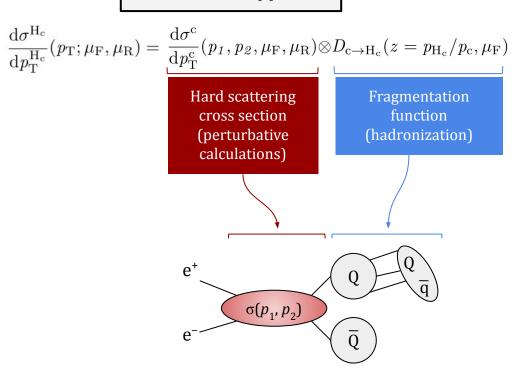
### Hadron production at large $Q^2$ - e<sup>+</sup>e<sup>-</sup> collisions

3/29

Factorization approach



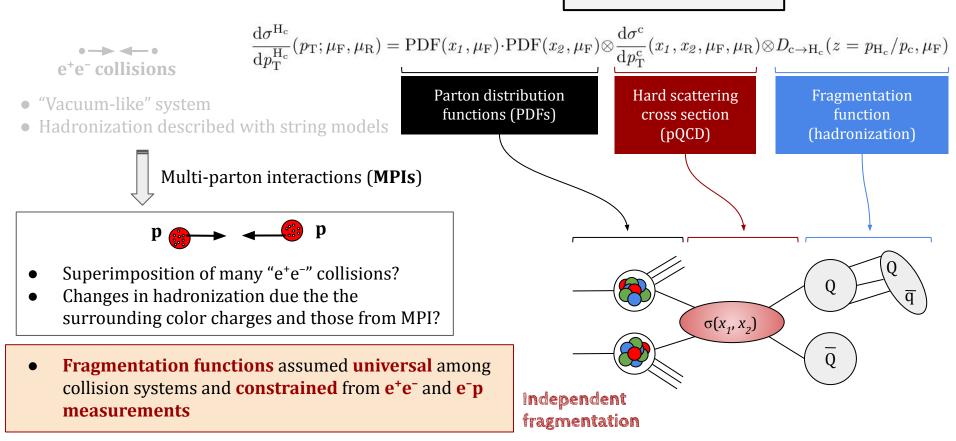
- "Vacuum-like" system
- Hadronization described with string models



### Hadron production at large $Q^2$ - pp collisions

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Factorization approach



### Hadronization: string models

Event generators: final stage of parton shower interfaced with non-perturbative hadronization models

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(e.g. Lund model in Pythia)

Phys. Rept. 97 (1983) 31-145 😭 Eur. Phys. J. C 78 no. 11

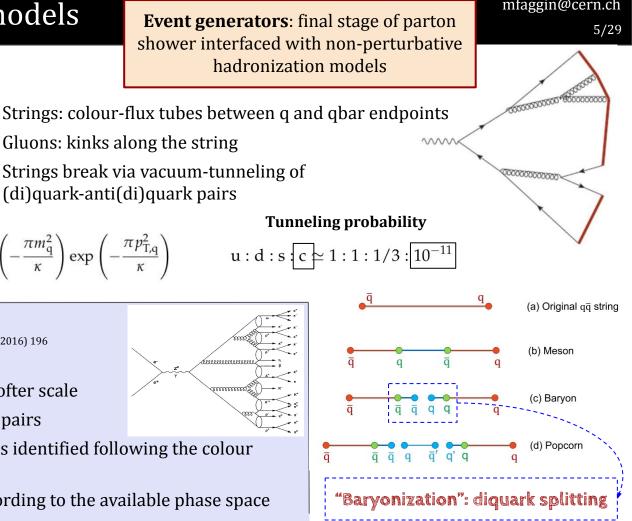
#### **κ**: string tension

 $P(\text{string breaking}) \propto \exp\left(-\frac{\pi m_{T,q}^2}{\kappa}\right) = \exp\left(-\frac{\pi m_q^2}{\kappa}\right) \exp\left(-\frac{\pi p_{T,q}^2}{\kappa}\right)$ 

**Cluster decay** (HERWIG)

Eur. Phys. J. C 76 no. 4, (2016) 196

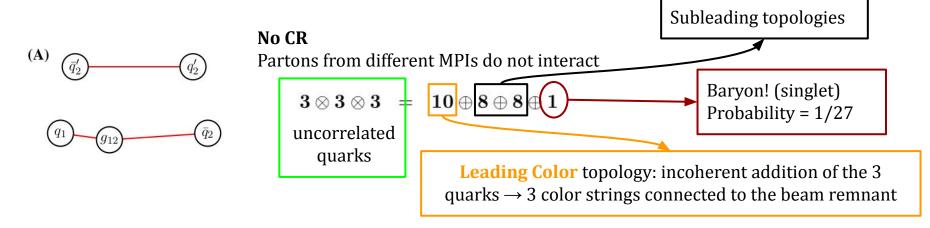
- Parton shower evolved up to a softer scale
- All gluons force to split in qqbar pairs
- Colour-singlet clusters of partons identified following the colour flow
- Cluster decays into hadrons according to the available phase space

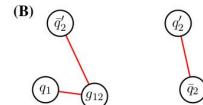


### Default hadronization in PYTHIA event generator

#### E <u>IHEP 08 (2015) 003</u>

- Initial state not insensitive to strong force (coloured partons, beam remnants)
- MPI  $\rightarrow$  crucial to explain underlying event





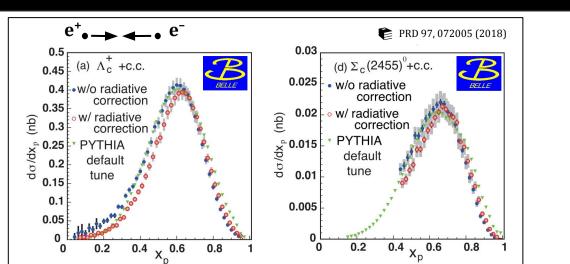
#### **CR within Leading Color**

- CR allowed among partons from different MPIs to minimize string length
- Implemented in Pythia Monash

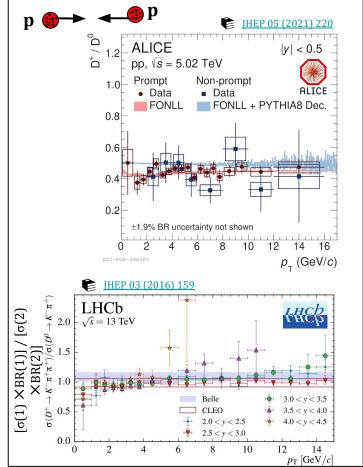




#### Success of the independent fragmentation

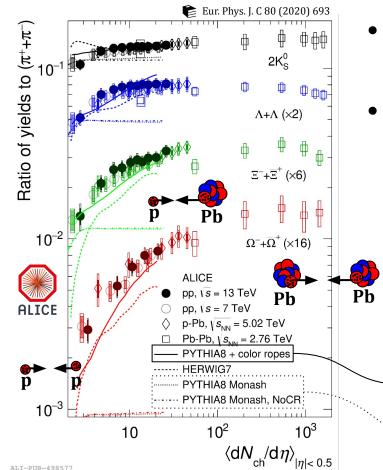


- **Charm-hadron** production in **e**<sup>+</sup>**e**<sup>-</sup> collisions described by **PYTHIA**
- Heavy-flavour meson-to-meson ratios in pp collisions:
  - $\circ$  no significant  $p_{\rm T}$ -dependence
  - described by models based on factorization and with fragmentation functions constrained from e<sup>+</sup>e<sup>-</sup>collisions
  - **compatible with** results in **e<sup>+</sup>e<sup>-</sup>** collisions



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#### Bugs in our ears - strangeness enhancement



- **Strangeness enhancement** (SE): yield-ratio between (multi-)strange hadrons and  $\pi^{\pm}$  larger in heavy-ion collisions than minimum-bias pp collisions
- **Strange-content hierarchy**  $\rightarrow$  not a meson-baryon matter!



Historically considered a key signature of QGP formation...

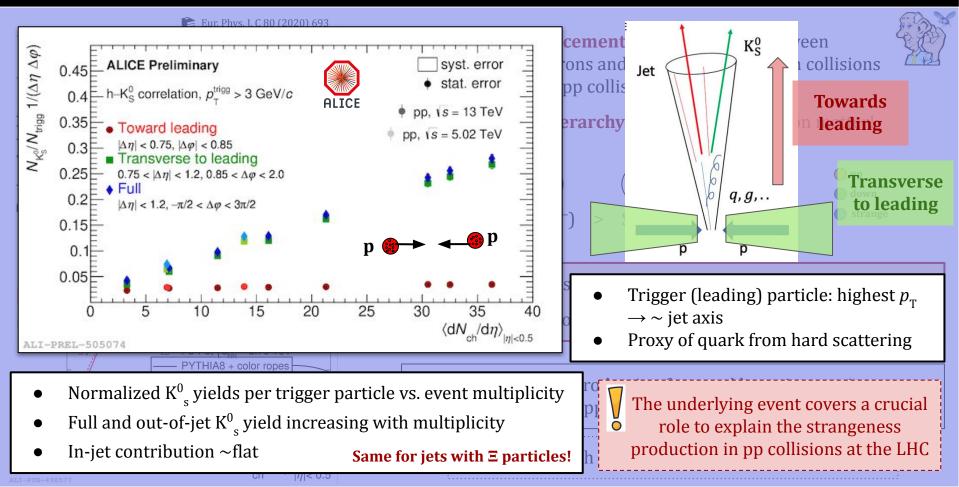
... but data show a **smooth trend from pp to Pb-Pb collisions** 

Ropes: strangeness production favoured by string increased tension due to overlapping strings (parallel, antiparallel)

PYTHIA Monash: MPIs + string fragmentation



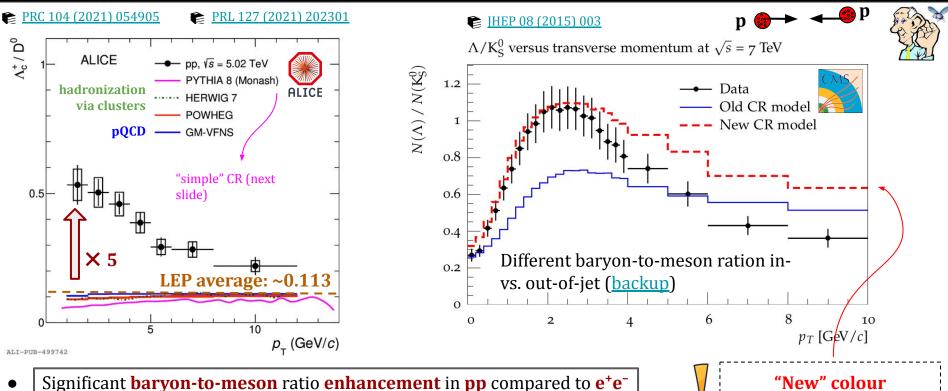
#### Bugs in our ears - strangeness enhancement



#### Bugs in our ears - baryon enhancement

reconnection (CR) model better describes

the data



- Significant baryon-to-meson ratio enhancement in pp compared to e<sup>+</sup>e<sup>-</sup> collisions
- Pythia predictions and pQCD-based calculations based of factorization and fragmentation functions tuned on e<sup>+</sup>e<sup>-</sup> underestimate the results in pp collisions

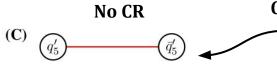
### "Baryonization" in pp collisions - CR beyond leading color

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#### E IHEP 08 (2015) 003





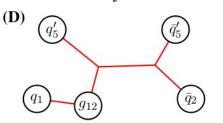


- **CR beyond Leading Color approximation (CR-BLC)** 
  - "Simplified QCD" with 9 color indices to determine the string formation
  - String length minimization over all possible configurations, even those beyond the Leading Color topology
     → Monash: only CR among LC
  - Enhanced leading color among MPIs and beam remnants



PYTHIA

**CR-BLC**: junctions

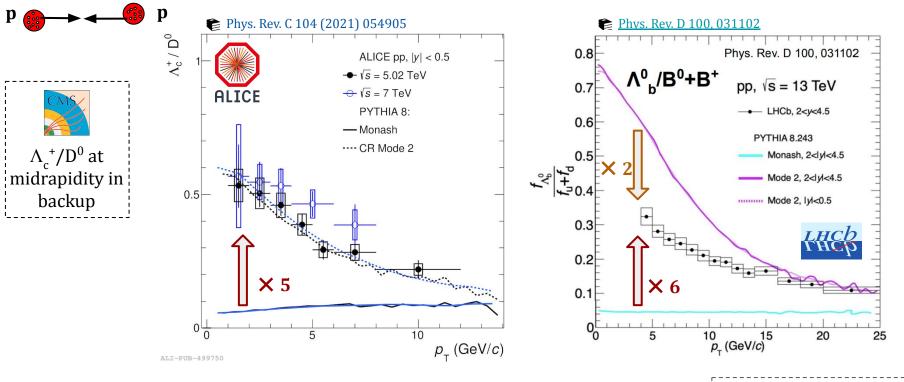


- <u>Conditions for color reconnections</u>:
  - **Invariant mass** of string *j*-th must be **above** a **threshold**  $m_0$  $C = m_{0i}/m_0 > 1$  : enhanced reconnections
  - **Causality**: two strings must resolve each other between formation and hadronization, according to the time dilation due to the relative boost

 $\rightarrow$ **Mode 0, 2, 3**: different "severity" on this condition

#### Baryon-to-meson ratio for charm and beauty

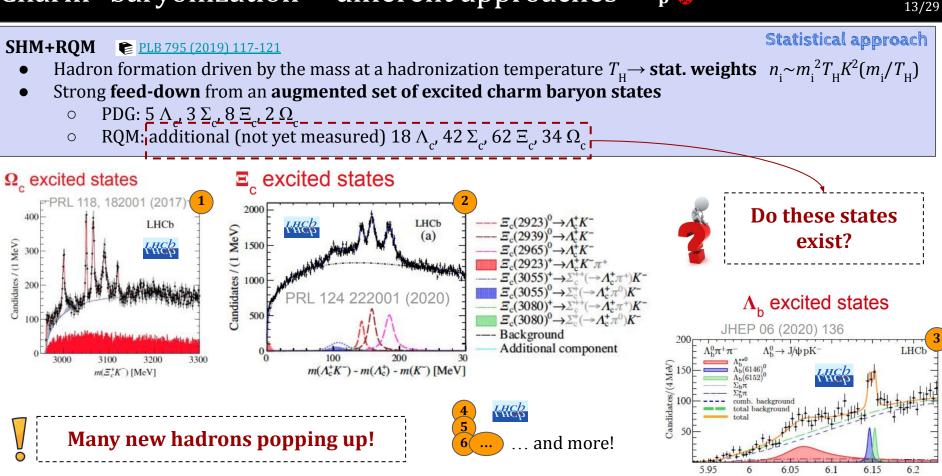
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- PYTHIA **Monash** predictions **underestimate** the measurement up to ~5x, 6x
- **CR Mode 2 agrees** with  $\Lambda_c^+/D^0$  at |y| < 0.5
- CR Mode 2 estimates a  $\Lambda_{\rm b}^{0}/(B^{0} + B^{+})$  about 2x larger

Any "obvious" explanation (parton and/or heavy-quark density)?

#### Charm "baryonization" - different approaches



 $m_{\Lambda_b^0\pi\pi}$ 

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<u> 💼 p</u>

n 🏪 🚬 🚽

[GeV]

### Charm "baryonization" - different approaches

#### SHM+RQM 👔 PLB 795 (2019) 117-121

- Hadron formation driven by the mass at a hadronization temperature  $T_{\rm H} \rightarrow$  **stat. weights**  $n_{\rm i} \sim m_{\rm i}^2 T_{\rm H} K^2 (m_{\rm i}/T_{\rm H})$
- Strong feed-down from an augmented set of excited charm baryon states
  - PDG: 5  $\Lambda_c$ , 3  $\Sigma_c$ , 8  $\Xi_c$ , 2  $\Omega_c$
  - RQM: additional (not yet measured) 18  $\Lambda_c$ , 42  $\Sigma_c$ , 62  $\Xi_c$ , 34  $\Omega_c$

#### Quark Coalescence Mechanism (QCM) 🛛 📽 Eur. Phys. J. C (2018) 78: 344

- Thermal weights to account for relative production of scalar and vector mesons
- Hadron p<sub>T</sub>- spectrum from recombination of charm quarks from the hard scattering with equal-velocity light quarks in the nearby in phase-space
   Statistical approach + coalescence

#### Catania coalescence model

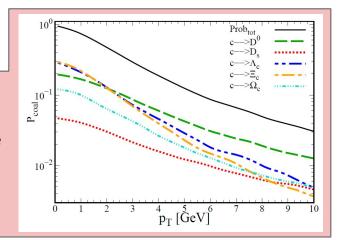
#### € <u>PLB 821, 136622</u>

Fragmentation + coalescence

- Thermalised system of u, d, s and gluons coalescence
  Charm quark can hadronize either via fragmentation or coalescence
- Charm hadronization into ground and (PDG) excited states
  - The latter ones increase the abundance of the former ones
  - Statistical "penalty" weight  $[m_{H^*}/m_H]^{3/2} \times \exp(-\Delta E/T)$

$n_{\rm i}$ [×10 <sup>-4</sup> fm <sup>-3</sup> ] ( $T_{\rm H}$ [MeV])	$\Lambda_{c}^{+}$	Ξ <sub>c</sub> <sup>0,+</sup>	$\Omega_c^{\ 0}$
PDG (170)	0.3310	0.0874	0.0064
RQM (170)	0.6613	0.1173	0.0144

p 💼 🕨 < 💼 p



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#### Statistical approach

### Charm "baryonization" - different approaches

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 $\Xi_{c}^{0,+}$ 

p 🔅 -> 🔶 p

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PLB 795 (2019) 117-121

RQM: additional (not yet measured) 18  $\Lambda_c$ , 42  $\Sigma_c$ , 62  $\Xi_c$ , 34  $\Omega_c$  $n_{\rm i}$  [X10<sup>-4</sup> fm<sup>-3</sup>]

**Quark Coalescence Mech** 

SHM+RQM

- Thermal weights to and vector mesons
- Hadron  $p_{T}$  spectru from the hard scatte nearby in phase-spa
- **Different hadronization mechanisms in** pp collisions compared to e<sup>+</sup>e<sup>-</sup> collisions

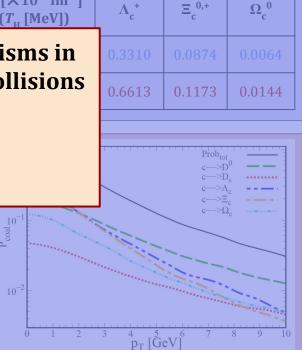
Fragmentation +

coalescence

Assumption of independent fragmentation (or better "hadronization") no more valid

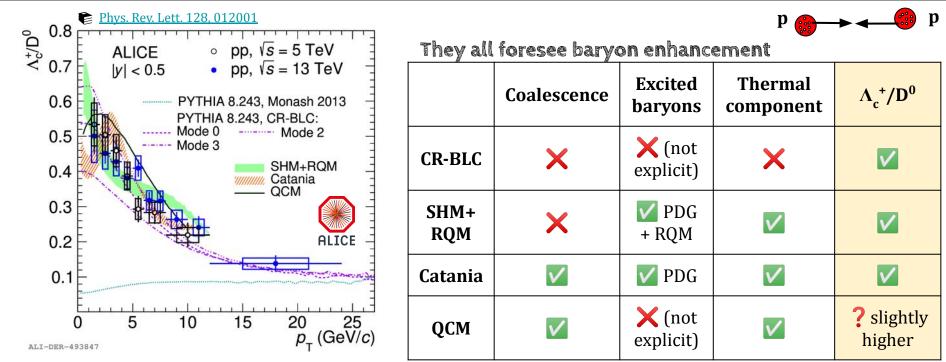
Catania coalescence model

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### $\Lambda_{c}^{+}/D^{0}$ ratio in pp at the LHC vs. model predictions

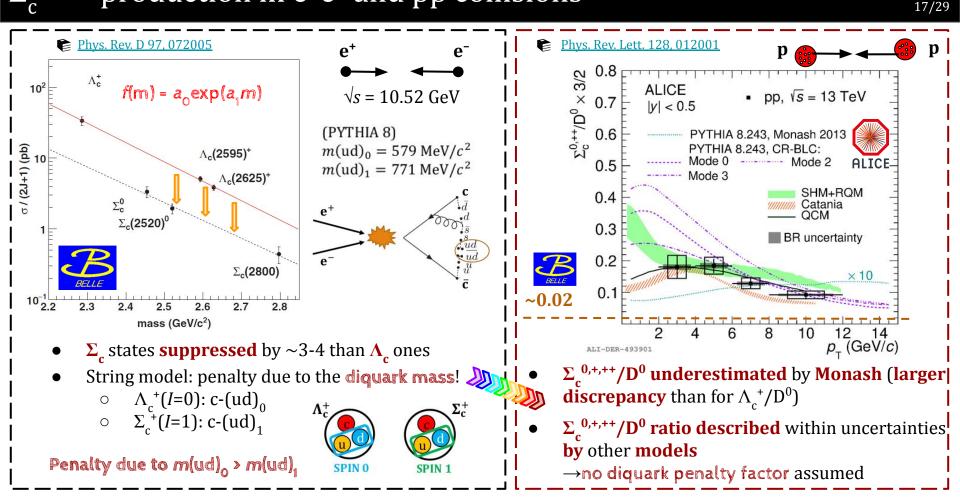
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- $\Lambda_c^+/D^0$  ratio underestimated by a factor ~5 at low  $p_T$  by Monash
- Λ<sub>c</sub><sup>+</sup>/D<sup>0</sup> ratio described within uncertainties by other models introduced (QCM slightly higher) despite the different mechanisms assumed



### $\Sigma_{c}^{0,+,++}$ production in e<sup>+</sup>e<sup>-</sup> and pp collisions



### $\Lambda_{c}^{+}(\leftarrow \Sigma_{c}^{0,+,++})$ production in pp collisions at the LHC

ALICE

12

 $p_{\tau}$  (GeV/c)

 $\Lambda_{c}^{0,+,++}/\Lambda_{c}^{+} \sim 0.17$ 

e+e-

14

Phys. Rev. Lett. 128, 012001

Mode 0

ALICE

|v| < 0.5

1.2

0.8

0.6

0.4

0.2

ALT-DER-493906

 $\Sigma_{c}^{0,++}/\Lambda_{c}^{+} \times 3/2$ 

∕,+(

 $\times 2$ 

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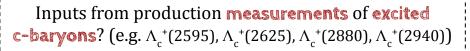


• **Fraction** of **prompt**  $\Lambda_c^+$  production from  $\Sigma_c^{0,+,++}$  decays at midrapidity in pp collisions at  $\sqrt{s} = 13$  TeV at the LHC:

 $(2 \le p_{T} < 12 \text{ GeV}/c)$  0.38 ± 0.06 ± 0.06

- ~2 times larger than  $e^+e^- \rightarrow$  relative increase of  $\Sigma_c^{0,+,++}$
- $\Lambda_c^+ (\leftarrow \Sigma_c^{0,+,++}) / \Lambda_c^+$  ratio **overestimated** by **CR-BLC**

Default parameter tunes not fully describing the inclusive prompt  $\Lambda_c^+$  production?



10

• pp, √s = 13 TeV

Mode 2

SHM+RQM

Catania

QCM

PYTHIA 8.243, Monash 2013 PYTHIA 8.243, CR-BLC:

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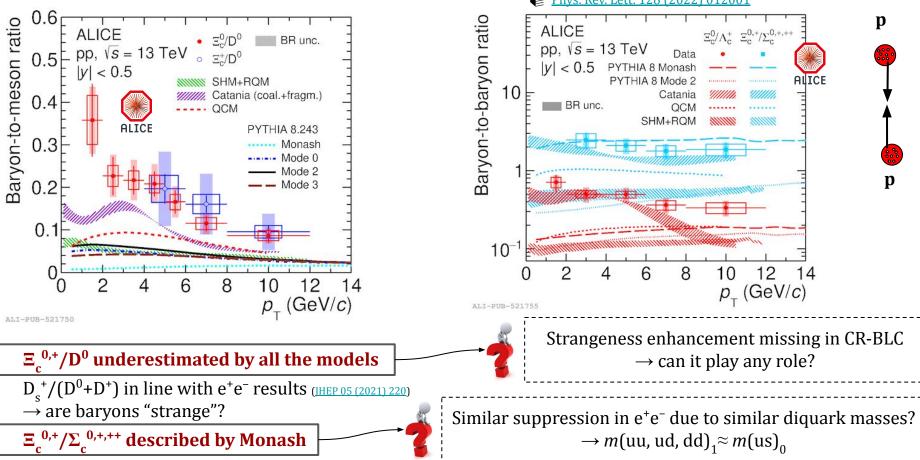
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### production in pp collisions at the LHC

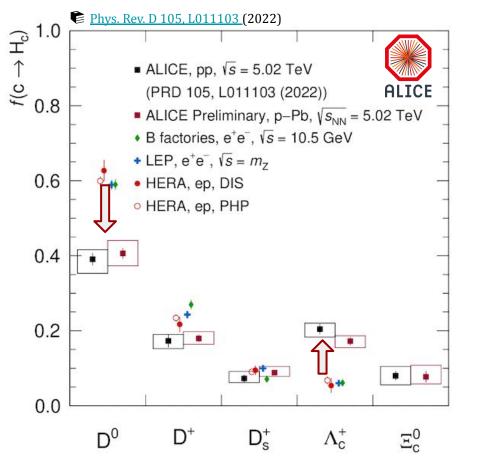


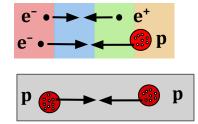


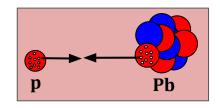
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#### Charm-baryon enhancement - fragmentation fractions

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#### Baryon enhancement at the LHC

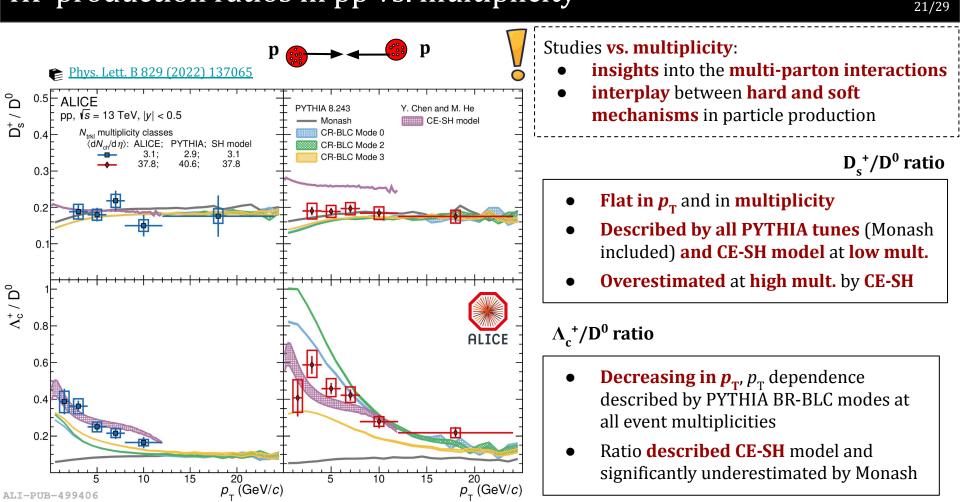
D mesons:  $\downarrow \downarrow \downarrow \times 1.4-1.6$  with respect to  $e^+e^- \Lambda_c^+$  baryon:  $\uparrow \uparrow \uparrow \times \sim 3$  with respect to  $e^+e^-$ 



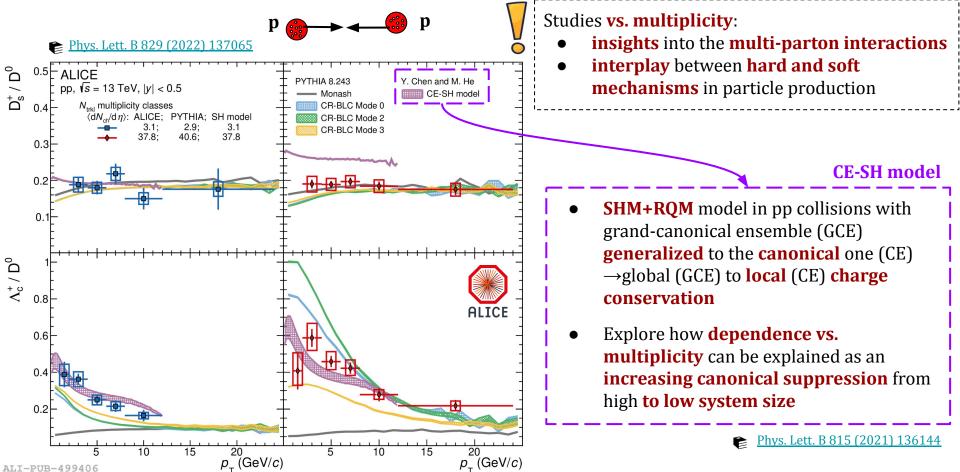
Evidence of for **different fragmentation** fractions at the LHC and  $e^+e^-$  (ep) collisions at lower  $\sqrt{s}$ 



#### HF production ratios in pp vs. multiplicity



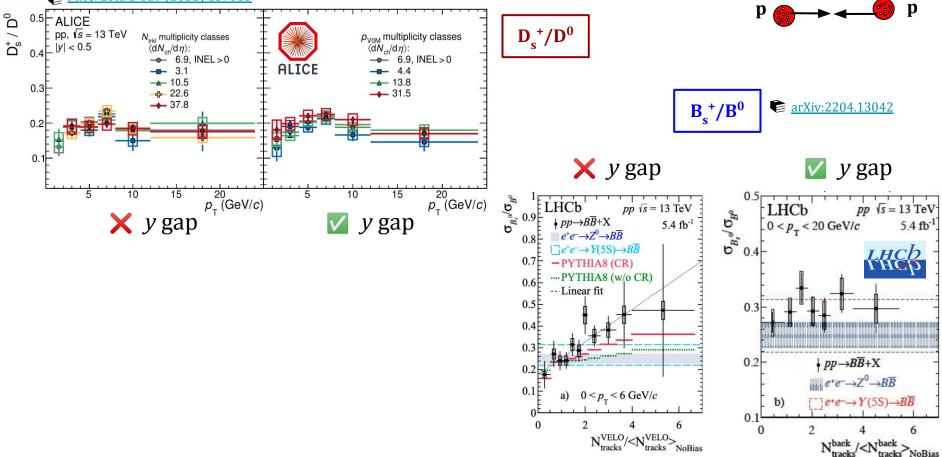
#### HF production ratios in pp vs. multiplicity



#### Strangeness enhancement in HF sector in pp collisions

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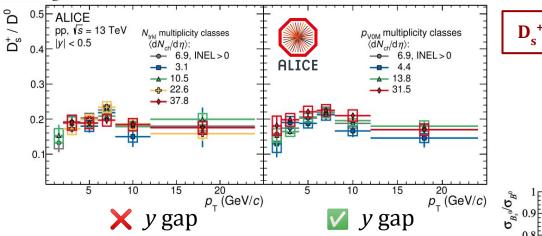




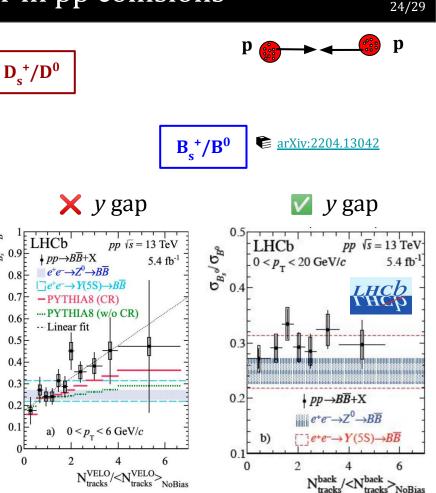
#### Strangeness enhancement in HF sector in pp collisions

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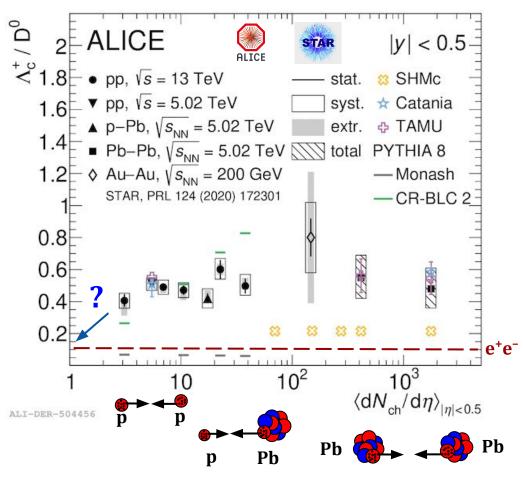
Phys. Lett. B 829 (2022) 137065



- ✗ y gap between measurement and multiplicity estimation
- No strangeness enhancement in the charm sector vs. mult.
- **Strangeness enhancement** in the **beauty** sector vs. mult.



### Charm baryon-to-meson ratio vs. multiplicity





No evidence of multiplicity dependence of  $p_{\rm T}$ -integrated  $\Lambda_c^+/{\rm D}^0$  ratio across collision systems, contrary to what shown by  $p_{\rm T}$ -differential ones (backup)

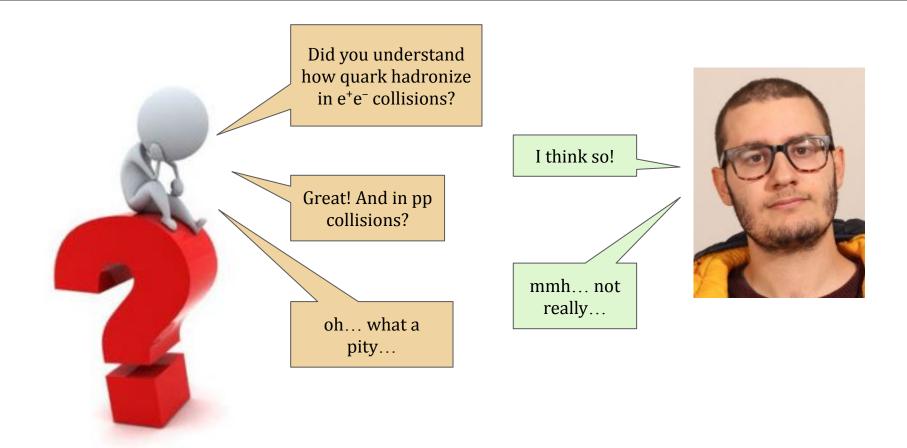
- Ratio described by Catania (fragmentation + coalescence) and TAMU (SHM+RQM + 4-momentum conserving coalescence in Pb-Pb)
- Flat trend also from SHMc, but systematically underestimated
- PYTHIA CR-BLC prediction does not reproduce the trend vs. multiplicity



Lowest multiplicities in pp collisions not yet covered

- possible to recover e<sup>+</sup>e<sup>-</sup>?
- looking forward to new LHC data!

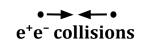
Conclusions



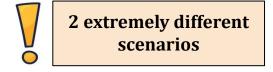
#### Conclusions

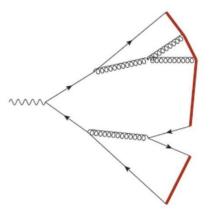
27/29

strange



- "Vacuum-like" system
- Hadronization described by string models
  - Lund fragmentation: quark and diquark pairs popping up from QCD potential in the string





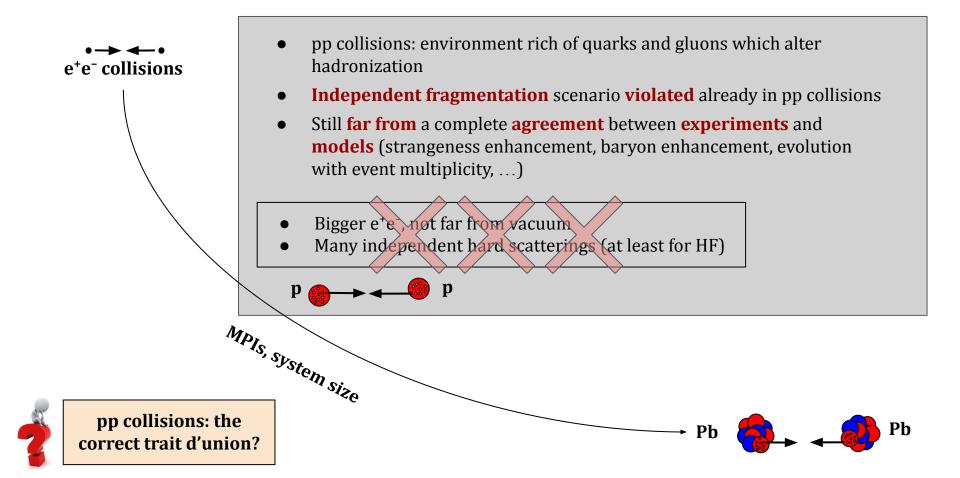
down charm

🔵 up



- **QGP**: complex system with **partonic d.o.f**
- Equilibrium and collectivity
- Hadronization is modified

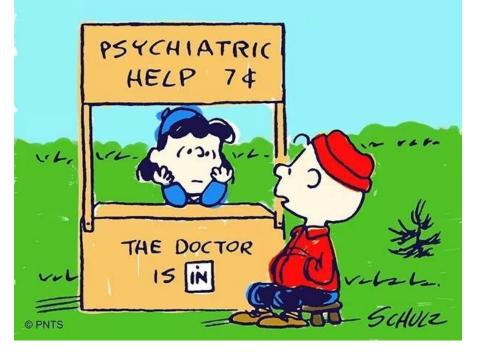
#### Conclusions



The end

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## Thank you for listening





Thanks to A. Dainese, A. Rossi, C. Terrevoli, S. Trogolo, V. Zaccolo for the useful discussions and suggestions!

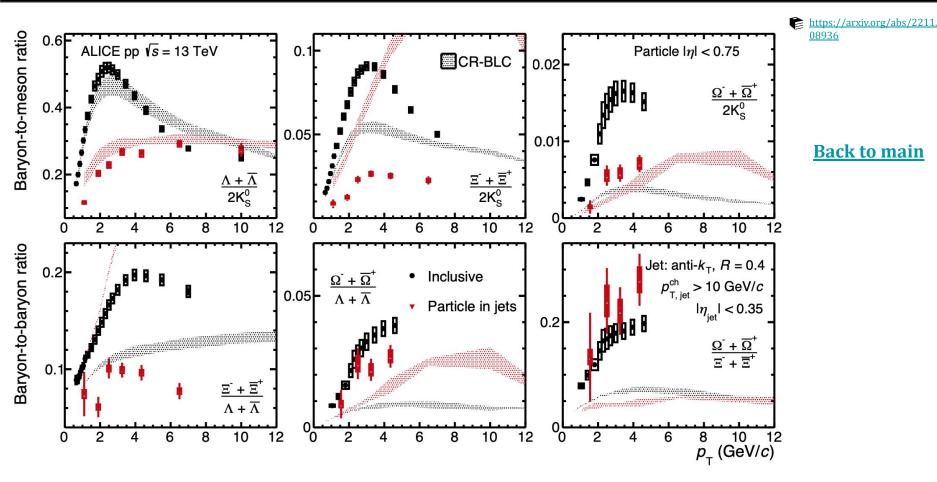
"This work is **(partially)** supported by ICSC – Centro Nazionale di Ricerca in High Performance Computing, Big Data and Quantum Computing, funded by European Union – NextGenerationEU".

# Backup

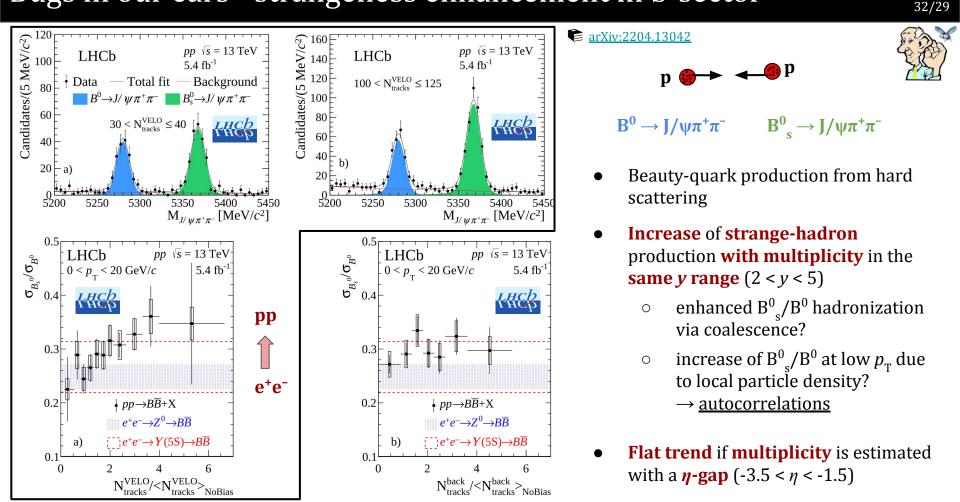
#### Baryon-to-meson ratio of strangeness in vs. out-of-jet

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#### Bugs in our ears - strangeness enhancement in b-sector

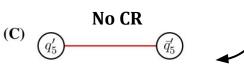


### "Baryonization" in pp collisions - CR beyond leading color

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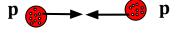
#### € <u>IHEP 08 (2015) 003</u>





**CR-BLC**: junctions

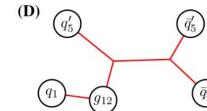
CR beyond Leading Color approximation (CR-BLC)



- "Simplified QCD" with 9 color indices to determine the string formation
- **String length minimization** over **all possible configurations**, even those beyond the Leading Color topology
  - $\rightarrow$  Monash: only CR among LC
- Enhanced leading color among MPIs and beam remnants
- <u>Conditions for color reconnections</u>:
  - **Invariant mass** of string *j*-th must be **above** a **threshold**  $m_0$  $C = m_{0i}/m_0 > 1$  : enhanced reconnections
  - Causality: two strings must resolve each other between formation and hadronization, according to the time dilation due to the relative boost →Mode 0, 2, 3: different "severity" on this condition



PYTHIA

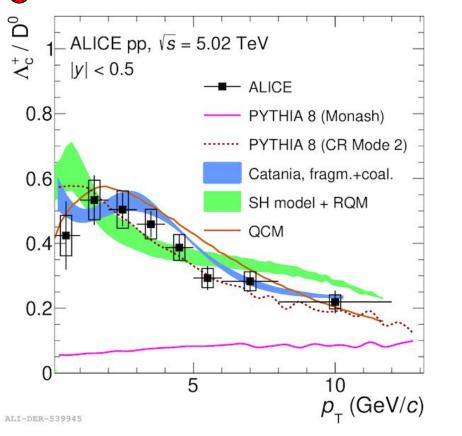


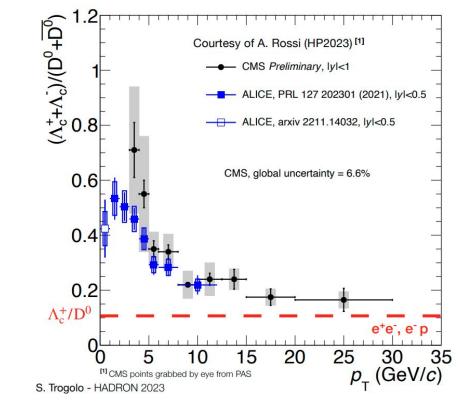
anti-up anti-strange anti-down anti-down anti-strange down anti-strange up up down anti-strange up down

#### Baryon-to-meson ratio for charm and beauty

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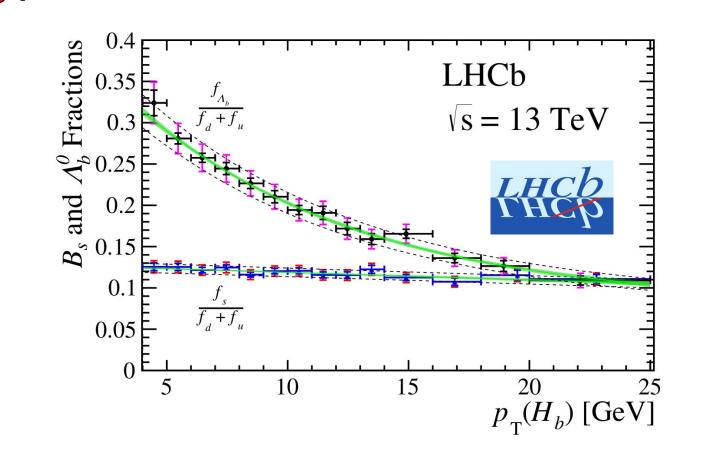


https://agenda.infn.it/event/33110/contributions/198233/attac hments/106532/150101/Trogolo HADRON 2023 final.pdf

#### Baryon-to-meson ratio for charm and beauty

p **→** ← **○** p

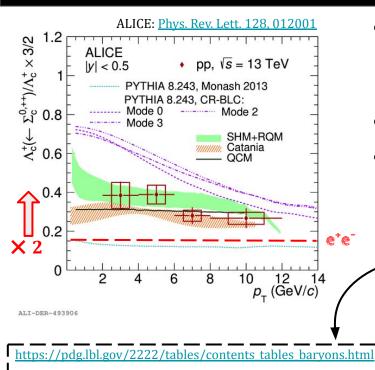
Phys. Rev. D 100, 031102



### $\Lambda_{c}^{+}(\leftarrow \Sigma_{c}^{0,+,++})$ production in pp collisions at the LHC

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- $\Lambda_{c}^{+}(2595), \Lambda_{c}^{+}(2625), \Lambda_{c}^{+}(2880), \Lambda_{c}^{+}(2940)$ decaying into  $\Lambda_{c}^{+}\pi(\Sigma_{c}^{0,++})\pi$
- Mass difference with  $\Lambda_c^+ \approx 300-650 \text{ MeV}/c^2$

• **Fraction** of **prompt**  $\Lambda_c^+$  production from  $\Sigma_c^{0,+,++}$  decays at midrapidity in pp collisions at  $\sqrt{s} = 13$  TeV at the LHC:

 $(2 \le p_{T} < 12 \text{ GeV}/c)$  0.38 ± 0.06 ± 0.06

• ~2 times larger than  $e^+e^- \rightarrow$  relative increase of  $\Sigma_c^{0,+,++}$ 

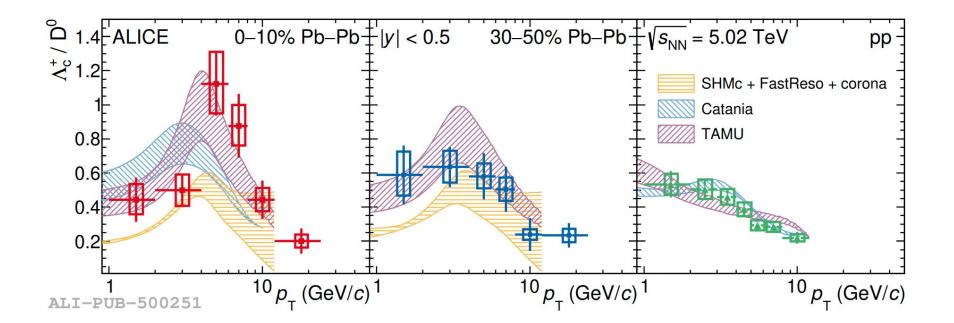


- $\Lambda_{c}^{+}(\leftarrow \Sigma_{c}^{0,+,++})/\Lambda_{c}^{+}$  ratio **overestimated** by **CR-BLC** 
  - Default parameter tunes not fully describing the inclusive prompt  $\Lambda_c^+$  production?
  - New: c-diquark role crucial. Re-tuning needed?
    - Inputs from production **measurements** of **excited c-baryons**?

P. Skands, PHENO meeting 2021 (https://indico.cern.ch/event/1028933/) Junction baryons (e.g, from CR) are expected to be different

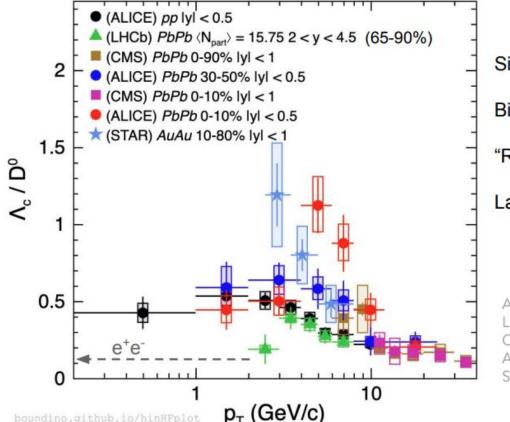
- In junction fragmentation, two junction legs get combined, one of which can be a c quark → charm diquarks + a quark from a string break.
- Radically new possibility.
  ~probQQ1toQQ0join = {0.5,0.7,0.9,1.0} really only guesses
  Controls charm baryons
  But note can be vastly different from that of string-breaks (0.0275)

string breaking suppression in Monash when the heaviest quark is u/d, s, c or b



### $\Lambda_{c}^{+}/D^{0}$ ratio at the LHC

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Significant evolution of  $p_{T}$ -differential yields

Biggest jump from e<sup>+</sup>e<sup>-</sup> to pp

"Radial-flow" like peak ← coalescence?

Large ratio also at STAR, "shifted" in  $p_{T}$  w.r.t. LHC

ALICE pp, PRL 127 (2021) 202301 LHCb Pb-Pb, arXiv:2210.06939 CMS Pb-Pb, CMS-PAS-HIN-21-004 ALICE Pb-Pb, arXiv:2112.08156 STAR Au-Au, PRL 124 (2020) 172301

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